



The Wealth Company

MUTUAL FUND

Brokerage Structure for Lumpsum & SIP/STP during NFO

24th September, 2025 to 8th October, 2025

Tenure : Open Ended | Brokerage Structure (Regular Plan)

The Wealth Company Flexi Cap Fund

Early Bird from 24th September to 28th September, 2025

Category	Brokerage (APM*)		
Amt. Collected	1 st year Trail	2 nd year Trail	3 rd year Trail
≤25 lakhs	1.50%	1.50%	1.50%
>25 lakhs	1.70%	1.70%	1.70%

From 29th September to 08th October, 2025

Amt. Collected	1 st year Trail	2 nd year Trail	3 rd year Trail
≤25 lakhs	1.40%	1.40%	1.40%
>25 lakhs	1.60%	1.60%	1.60%

Exclusive Brokerage for NFO The Wealth Company Flexi Cap Fund only

Female MFD (ARN Holder)	Additional 5 bps
Unique application Count >=15 per MFD during NFO period	Lumpsum 5 bps
SIP and STP	Additional 15 bps for 2 years, Trail brokerage will be reviewed* thereafter.

Brokerage Structure

24th September, 2025 to 8th October, 2025

Tenure : Open Ended | Brokerage Structure (Regular Plan)

The Wealth Company Arbitrage Fund & Liquid Fund

Schemes	1 st year Trail	2 nd year Trail	3 rd year Trail
The Wealth Company Arbitrage Fund	0.60%	0.60%	0.60%
The Wealth Company Liquid Fund	0.15%	0.15%	0.15%

(APM*) – Annualized Payable Monthly

Female MFD (ARN Holder)	Additional 5 bps
Unique application Count >=15 per MFD during NFO period	Lumpsum 5 bps

TERMS & CONDITIONS

	TERMS & CONDITIONS
General	<ol style="list-style-type: none">1. This is further to your empanelment with The Wealth Company Asset Management Pvt Ltd.2. The aforesaid structure is applicable for NFO period i.e. from 24th September 2025 – 08th October 2025. This structure will remain effective till further notice and may change at the discretion of AMC as a result of any changes in the regulations/guidelines.3. Trail Commission: The Trail Commission is calculated on the basis of 'Daily Average Assets'. The amount payable to the distributor shall be paid in the following month of the allotment. *APM: Annualized Payable Monthly.4. If the total commission payout to the distributor for a month (including commission for this scheme) is less than Rs. 100/-, the same would be accrued and carried forward to subsequent months for payouts.5. As per the Notification No. 38/2017 – Central Tax (Rate) dated 13th October 2017, the discharge of liability towards GST will be sole responsibility of the distributor and The Wealth Company Mutual Fund will not deduct GST under Reverse Charge Mechanism (RCM) until further notice from GST Council. However, the AMC reserves the right to deduct the same in future without any notice if any notification is received in this regard. AMC also reserves the right to deduct any other applicable statutory dues. The commission rates are inclusive of all taxes, levies, statutory dues, and Goods & Services tax (GST).6. Please refer to Scheme Information Document and Key Information Memorandum, addendum (if any) thereto for the Exit Load details.7. The AMC reserves the right not to pay Commission/Incentive on assets mobilized through multiple / split applications from the same investor where such arrangement is made with an intention to earn Commission/Incentive otherwise not available on the investment.8. The Wealth Company Asset Management Pvt Ltd reserves the right to change the applicable Brokerage Rates as it may deem fit without any prior intimation or notification, incases of regulatory changes, or change in industry practices in respect to payment of brokerage on mutual funds or due to any other circumstances which the AMC may deem fit.
Regulatory	<ol style="list-style-type: none">1. The distributors shall adhere to all applicable SEBI Regulations/ circulars on the Code of Conduct and other guidelines issued by AMFI from time to time for mutual fund distributors and ensure that no rebate is given to investors in any form and there is no splitting of applications for any benefit. AMC reserves the right to withhold and/or forfeit the payment on account of non-adherence to regulatory guidelines, mis-selling and non-adherence to code of conduct, or any other reason that AMC may deem fit. Vide SEBI circular dated November 28th, 2002, and AMFI's subsequent circulars, intermediaries are not entitled to commission/incentive on their own investment.2. In terms of SEBI / AMFI circulars / guidelines, the distributors shall submit all account opening and transaction documentation, including Know Your Client, Power of Attorney, Account Opening Form, etc. in respect of investors.3. In accordance with the clause 4(d) of SEBI Circular No. SEBI/IMD/CIR No. 4/168230/09 dated June 30, 2009, the distributors should disclose all the commissions (in the form of trail commission or any other mode) payable to them for the different competing schemes of various mutual funds from amongst which the scheme is being recommended to the investor. Distributors are advised to ensure compliance of the same.